

PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF : Polak et al.  
FOR : FLOCCULATING AGENT, METHOD  
FOR THE PRODUCTION AND USE  
THEREOF  
SERIAL NO. : 10/566,638  
FILED : January 27, 2006  
EXAMINER : Unknown  
ATTORNEY DOCKET NO. : HMNZ 2 00042

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

MAIL STOP AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97, 1.98 and MPEP § 609, applicants submit(s) the following Disclosure Statement concerning art of which the applicants are aware. A copy of PTO-1449 is enclosed herewith.

This Information Disclosure Statement shall not be construed to be an admission that any information referred to herein or submitted herewith is "prior art" or is considered to be material to patentability for this invention.

The United States Patent and Trademark Office OG Notice dated 12 October 2004 published a final rule revising 37 C.F.R. 1.98 dealing with the content of Disclosure Statements. Paragraph (a)(2) was revised to read in part, "A legible copy of: (i) Each foreign patent; (ii) Each publication or that portion which caused it to be listed, other than U.S. patents and U.S. patent application publications unless required by the

Office." Therefore, Applicant(s) has (have) not enclosed copies of the cited U.S. patents and published patent applications with this Information Disclosure Statement.

In accordance with 37 C.F.R. §1.97(g) and (h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

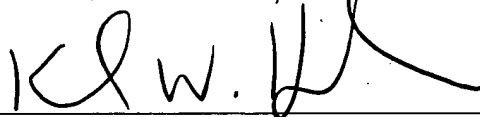
☒ All of the cited and/or included documents were cited by the German Patent Office in a related application(s). A copy of the German Search Report is enclosed.

Consideration of the appropriate paragraph(s) indicated below is respectfully requested:

☒ **BEFORE FIRST OFFICE ACTION:** Under § 1.97(b)(3), this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits. Although it is believed no fee is necessary, any deficiency in fees should be charged to Deposit Account No. 06-0308.

Respectfully submitted,

FAY, SHARPE, FAGAN,  
MINNICH & McKEE, LLP



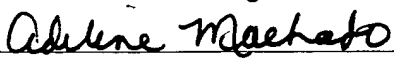
Karl W. Hauber, Reg. No. 52,999  
1100 Superior Avenue  
Seventh Floor  
Cleveland, OH 44114-2518  
216/861-5582

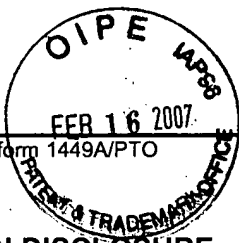
February 13, 2007  
Date

---

CERTIFICATE OF MAILING

I certify that this Information Disclosure Statement and accompanying document(s) are being  
X deposited with the United States Postal Service as First Class mail under 37 C.F.R. 1.8, addressed to: Mail Stop IDS, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated below.

	Signature 
Date February 13, 2007	Printed Name Adeline Machado



Substitute for form 1449A/PTO

**Complete if Known**

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT(S)**

Application Number	10/566,638
Filing Date	January 27, 2006
First Named Inventor	Polak et al.
Art Unit	
Examiner Name	
Attorney Docket No.	HMNZ 2 00042

Sheet 1 of 1

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No.	Document No. Number-Kind Code (if known)	Publication/Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	US-			
	AB	US-			
	AC	US-			
	AD	US-			
	AE	US-			
	AF	US-			
	AG	US-			
	AH	US-			
	AI	US-			
	AJ	US-			
	AK	US-			
	AL	US-			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No.	Foreign Patent Document Country Code-Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T
	AM	DE 29 36 214 A1				<input type="checkbox"/>
	AN	DE 38 76 792 T2				<input type="checkbox"/>
	AO	DD 293 100 A5				<input type="checkbox"/>
	AP	GB 618 895 A				<input type="checkbox"/>

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume/issue number(s), publisher, city and/or country where published	T
	AQ	International Search Report	<input type="checkbox"/>
	AR	German Examination Report	<input type="checkbox"/>
	AS		<input type="checkbox"/>
	AT		<input type="checkbox"/>

Examiner Signature		Date Considered	
--------------------	--	-----------------	--